

# Iwasawa $\lambda$ invariants and Massey products

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# Table of Contents

- 1 Introduction
- 2 Cup products and  $\lambda$  invariants—two sample results
- 3 Massey products
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- 5 Main Results

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- Iwasawa theory
- Galois cohomology
- ...

In the talk, we will compare these two methods.

Iwasawa's answer to the question: Instead of looking at one field extension, we look at a tower of field extensions.

## Definition

We call a tower of fields extension  $K \subset K_1 \subset K_2 \subset \cdots \subset K_l \subset \cdots \subset K_\infty$  a  $\mathbb{Z}_p$  extension of number field  $K$  if  $\text{Gal}(K_l/K) \cong \mathbb{Z}/p^l\mathbb{Z}$  and  $\text{Gal}(K_\infty/K) \cong \mathbb{Z}_p$ .

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- Generally, by compositing the above tower with number field  $K$ , we get a  $\mathbb{Z}_p$  extension for  $K$ :  
$$K = K\mathbb{Q}_1 = \cdots = K\mathbb{Q}_e \subset K\mathbb{Q}_{e+1} \subset K\mathbb{Q}_{e+2} \cdots \subset K\mathbb{Q}_\infty$$

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- We call such  $\mathbb{Z}_p$  extension as cyclotomic  $\mathbb{Z}_p$  extension.



# Iwasawa theory

Let  $K \subset K_1 \subset K_2 \subset \cdots \subset K_l \subset \cdots \subset K_\infty$  be a  $\mathbb{Z}_p$  extension of number field  $K$ .

## Theorem (Iwasawa[Was97])

*There are constants  $\mu, \lambda, \nu$  such that when  $n$  is sufficient large,*

$$\#\text{Cl}(\mathcal{O}_{K_l})[p^\infty] = p^{\mu p^l + \lambda l + \nu}$$

Therefore people are interested in computing the three constants  $\mu, \lambda, \nu$

## Theorem (Ferrero-Washington[Was97])

*When  $K$  is an abelian number field, then  $\mu$  is 0 for the cyclotomic  $\mathbb{Z}_p$  extension.*

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## Conjecture (Iwasawa[Was97])

*The Iwasawa  $\mu$  is zero for the cyclotomic  $\mathbb{Z}_p$  extension of any number field  $K$*

So, the interesting part is to calculate invariant  $\lambda$ .

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## some Galois cohomology

- $S$  is the set of primes above  $p$  in  $K$
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- Let  $\alpha \in K^*$ , we can also view  $\alpha$  as an element in cohomology group  $H^1(G_{K,S}, \mu_p)$  by kummer theory



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Recall  $\mu_n$  is the group of  $n$ -th root of units.

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- Decompose  $\text{Cl}(\mathbb{Q}(\mu_{p^l}))[p^\infty] = \bigoplus_i \varepsilon_i \text{Cl}(\mathbb{Q}(\mu_{p^l}))[p^\infty]$  as direct sum of eigenspaces with respect to the action of  $\text{Gal}(\mathbb{Q}(\mu_p))$ .

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- By Iwasawa theory

$$\#\varepsilon_i \text{Cl}(\mathbb{Q}(\mu_{p^l}))[p^\infty] = p^{\mu_i p^l + \lambda_i l + \nu_i} = p^{\lambda_i l + \nu_i}$$

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- Fix an odd  $i > 1$ . Under some conditions,

$$\lambda_i \geq 2 \iff \chi \cup \alpha_i = 0$$

Where  $\alpha_i$  is an element  $K^*$  constructed from  $\varepsilon_i \text{Cl}(K)[p]$



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- Then

$$\lambda \geq 2 \iff \alpha^{p-1} \equiv 1 \pmod{\tilde{\mathfrak{P}}_0^2}$$

Here  $\alpha$  is a generator of  $\mathfrak{P}_0^{h_K}$

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By some work, easy to see:

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Here  $\log_p$  is the  $p$ -adic log.

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If we work harder, by Poitou-Tate duality

$$\log_p \alpha \equiv 0 \pmod{p^2} \iff \chi \cup \alpha = 0$$

# Comparing

## Theorem (McCallum-Sharifi[MS03])

Let  $K$  be a cyclotomic field  $\mathbb{Q}(\mu_p)$ . For cyclotomic  $\mathbb{Z}_p$  extensions, under some conditions:

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## Remark

Both theorems have the form " $\lambda \geq 2 \iff \chi \cup \alpha = 0$ ", which motivates us to find the deep reason behind it.

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## Slogan

Massey product is a generalization of cup products.

- Given  $\chi_1, \chi_2 \in H^1(G, \mathbb{F}_p) \cong \text{Hom}(G, \mathbb{F}_p)$ , we can form two representations  $G \rightarrow GL_2(\mathbb{F}_p)$ :

$$\rho_{\chi_1}(g) = \begin{pmatrix} 1 & \chi_1(g) \\ 0 & 1 \end{pmatrix}, \rho_{\chi_2}(g) = \begin{pmatrix} 1 & \chi_2(g) \\ 0 & 1 \end{pmatrix}$$

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- $\chi_1 \cup \phi_{2,3} + \phi_{1,2} \cup \chi_3 \in H^2(G, \mathbb{F}_p)$  is the obstruction to glue them.

# 3-fold Massey products

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- The data  $M = \{\chi_1, \chi_2, \chi_3, \phi_{1,2}, \phi_{2,3}\}$  are called defining system.
- $\chi_1 \cup \phi_{2,3} + \phi_{1,2} \cup \chi_3 \in H^2(G, \mathbb{F}_p)$  is the Massey products of  $(\chi_1, \chi_2, \chi_3)$  with respect to the defining system  $M$ .

# proper defining system

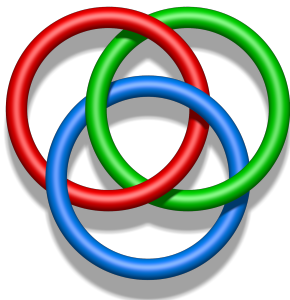
A defining system is called proper defining system if it is of the following form:

$$\begin{bmatrix} 1 & \chi & \binom{\chi}{2} & \binom{\chi}{3} & \binom{\chi}{4} & \cdots & * \\ 0 & 1 & \chi & \binom{\chi}{2} & \binom{\chi}{3} & \cdots & \psi_{n-1} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & 1 & \chi & \binom{\chi}{2} & \psi_2 \\ 0 & 0 & 0 & 0 & 1 & \chi & \psi_1 \\ 0 & 0 & 0 & 0 & 0 & 1 & \psi_0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

Here  $\binom{n}{d} = \frac{n!}{d!(n-d)!}$ .

# Massey products and knots

There is an analogy between knots and primes in which  $H^*(G_{K,S}, \mathbb{F}_p)$  plays a similar role as the cohomology of knot complements. Massey products were first introduced by Massey when considering the following knots. Cup products (i.e. linking numbers in knot theory) of any two rings are all zero. Hence cup products fail to determine whether the following knots are trivial. However, the triple Massey product of three rings is not zero, which tells us three rings are linked in a nontrivial way.



# Table of Contents

- 1 Introduction
- 2 Cup products and  $\lambda$  invariants—two sample results
- 3 Massey products
- 4 Generalized Bockstein Map**
- 5 Main Results

# Generalized Bockstein Map

- $G_{K,S}/G_{K_\infty,S} \cong \text{Gal}(K_\infty/K) \cong \mathbb{Z}_p$
- Let  $\sigma$  be a topological generator of  $G_{K,S}/G_{K_\infty,S}$ .
- Define the complete algebra  $\Omega := \mathbb{F}_p[[G_{K,S}/G_{K_\infty,S}]]$
- Let  $I = \langle \sigma - 1 \rangle$  be the augmentation ideal.
- we have an exact sequence :

$$0 \rightarrow \mathbb{F}_p \cong I^n/I^{n+1} \rightarrow \Omega/I^{n+1} \rightarrow \Omega/I^n \rightarrow 0$$

- After tensor with  $\mu_p$ , it is still exact.

$$0 \rightarrow \mu_p \cong \mu_p \otimes I^n/I^{n+1} \rightarrow \mu_p \otimes \Omega/I^{n+1} \rightarrow \mu_p \otimes \Omega/I^n \rightarrow 0$$

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- The connecting map  $\Psi^{(n)} : H^1(G_{K,S}, \mu_p \otimes \Omega / I^n) \rightarrow H^2(G_{K,S}, \mu_p \otimes I^n / I^{n+1}) = H^2(G_{K,S}, \mu_p)$  is called the generalized Bockstein map.

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## Theorem (Q.)

- Let  $K \subset K_1 \subset K_2 \subset \cdots \subset K_\infty$  be a  $\mathbb{Z}_p$  extension of  $K$
- Let  $S$  be the set of primes above  $p$  for  $K$
- $K_\infty/K$  is totally ramified for all primes in  $S$ .
- Let  $X_{cs} = \varprojlim \text{Cl}_S(K_l)$  and  $\mu_{cs}, \lambda_{cs}$  be the Iwasawa invariant of  $X_{cs}$ .
- Assume  $X_{cs}$  has no torsion element and  $H^2(G_{K,S}, \mu_p) \cong \mathbb{F}_p$ .

Then  $\mu_{cs} = 0$  if and only if there exists  $k$  such that  $\Psi^{(k)} \neq 0$  for some  $k$ .

If  $\mu_{cs} = 0$ , then  $\lambda_{cs} = \min\{n \mid \Psi^{(n)} \neq 0\} - \#S + 1$



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- *Let  $K$  be an imaginary quadratic field and  $K_\infty/K$  is the cyclotomic  $\mathbb{Z}_p$  extension.*

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- Assume  $\lambda \geq n - 1$
- Then  $\lambda \geq n \Leftrightarrow n$ -fold Massey product  $(\chi, \chi, \dots, \chi, \alpha) = 0$  with respect to a proper defining system. Here  $\alpha$  is a generator of  $\mathfrak{P}_0^{h_K}$

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- Fix an odd  $i > 1$ . Under some conditions, assume  $\lambda_i \geq n - 1$ . Then  $\lambda_i \geq n \Leftrightarrow n$ -fold Massey product  $\varepsilon_i(\chi, \chi, \cdots, \chi, \alpha_i) = 0$  with respect to a proper defining system, where  $\alpha_i$  is an element  $K^*$  constructed from  $\varepsilon_i \text{Cl}(K)[p]$

- Use Kummer theory to connect the size of class groups and cohomological groups.

$$0 \rightarrow \mathcal{O}_{K,S}^*/(\mathcal{O}_{K,S}^*)^p \rightarrow H^1(G_{K,S}, \mu_p) \rightarrow \text{Cl}_S(K)[p] \rightarrow 0$$

$$0 \rightarrow \text{Cl}_S(K)/p \rightarrow H^2(G_{K,S}, \mu_p) \rightarrow \text{Br}(\mathcal{O}_K[1/p])[p] \rightarrow 0$$



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- The size of cohomological groups is controlled by generalized Bockstein map [LLS<sup>+</sup>23].

$$\frac{I^n H_{\text{Iw}}^2(G_{K_\infty, S}, \mu_p)}{I^{n+1} H_{\text{Iw}}^2(G_{K_\infty, S}, \mu_p)} \cong \frac{H^2(G_{K,S}, \mu_p) \otimes I^n / I^{n+1}}{\text{Im } \Psi^{(n)}}$$

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- Under some conditions, the image of generalized Bockstein map is spanned by Massey products [LLS<sup>+</sup>23].

# THANK YOU!

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